JOINT DOCTORAL DEGREE PROGRAM AGREEMENT

BETWEEN

INDIAN INSTITUTE OF TECHNOLOGY KANPUR
Located in Kalyanpur, Kanpur, Uttar Pradesh, India
(“IITK”)

AND

THE GOVERNORS OF THE UNIVERSITY OF ALBERTA
As represented by the Faculty of Agricultural, Life and Environmental Sciences, Faculty of Engineering, Faculty of Medicine & Dentistry, Faculty of Science
Located in Edmonton, Alberta, Canada
(“UAlberta”)

The aforesaid institutions are hereinafter referred to individually as party and collectively as parties.

WHEREAS the parties wish to formalize the terms for an agreement (the “Agreement”) establishing a program under which doctoral students from either party may pursue a joint degree;

NOW THEREFORE in consideration of the above and other good and valuable consideration the parties agree as follows:

1 DEFINITIONS

1.1 In this Agreement:

a. “Collaborating Institution” means the institution which is not the Student’s Home Institution;

b. “Joint Degree Program” means a program of study under which a student may obtain:

i. a doctoral degree from UAlberta OR from IITK;

In each instance, the degree will be granted by the Home Institution, and the Home Institution will provide formal recognition of the completion of the Joint Degree Program.

At UAlberta, all Students who participate in the Joint Degree Program will receive a notation on their transcript, which will read:

Participating in a shared credential program offered jointly by this university and the Indian Institute of Technology Kanpur.

Students whose Home Institution is UAlberta will additionally receive a statement on their parchment which will read:

Having completed all the statutory requirements of the program offered jointly by the University of Alberta and the Indian Institute of Technology Kanpur has been granted the degree of [Official Degree Name] and awarded all the rights and privileges pertaining to this degree.

c. “Student” means a student who is participating in the Joint Degree Program; and

d. “Home Institution” means the institution where the student was originally admitted to a doctoral degree program in one of the degree programs contemplated by the Joint Degree Program.

2 LIAISON OFFICERS

2.1 Each party shall designate a liaison officer (“Liaison Officer”) who will be responsible for coordinating the specific aspects of the Joint Degree Program as well as advising and assisting students taking part in the Joint Degree Program.

2.2 The designated Liaison Officers for the Agreement are:
IITK
Dr. Dhirendra S. Katti
Dean of International Relations
207, Academic Affairs Building,
Indian Institute of Technology Kanpur, Kalyanpur,
Kanpur – 208016
Uttar Pradesh, India
Telephone: 05126797701
Email: doir@iitk.ac.in

UAAlberta
Dr. Cen Huang
Vice-Provost and Associate Vice-President (International)
University of Alberta International
University of Alberta
142 Telus Centre
Edmonton, Alberta T6G 2R3
Canada
Telephone: +1-780-492-0821
E-mail: cen.huang@ualberta.ca

All notices pursuant to this Agreement must be sent in writing to the designated Liaison Officer. Either party may change its designated Liaison Officer by notifying the other party in writing of same.

3 APPLICATION, SELECTION, AND ADMISSION

3.1 Every Student must meet the normal admission requirements of the respective parties for the relevant degree programs in addition to any requirements outlined in Section 1 of Appendix A.

3.2 A Student must first be admitted into a doctoral degree program at their Home Institution.

3.3 Collaborating professors from UAAlberta and IITK will jointly identify research projects for Students to work on as part of their doctoral studies and for which the collaborating professors would be joint supervisors. UAAlberta and IITK will develop a process to recruit prospective students to the projects.

3.4 Once connected with a project, the prospective student must:
   a. For UAAlberta: fill out the appropriate approval and application forms (see ualberta.ca/graduate-studies/prospective-students/apply-for-admission/shared-credential-applications) and submit same to UAAlberta;
   b. For IITK: inform the Office of International Relations about the acceptance from the faculty at Host Institution. The student will also be required to fill a Schedule A form for the office’s records (see Appendix B); and
   c. If the Home Institution agrees to recommend the student, the appropriate forms will be forwarded to the Collaborating Institution by the deadline mutually agreed upon in writing by the Liaison Officers.

3.5 The Collaborating Institution will determine in its sole discretion whether to admit the student into the Joint Degree Program and will inform the Home Institution of its decision. For admitted students, the Host Institution will return the signed approval and application forms to the Home Institution.

3.6 Each Collaborating Institution will admit up to a maximum of two (2) Students each academic year for the Joint Degree Program. The maximum number of Students may be changed by mutual agreement of the parties and must be expressed in writing. While the number of incoming and outgoing Students may not be exactly equal every year, both parties will endeavour to exchange a similar number of Students.
4 PROGRAM REQUIREMENTS AND ADMINISTRATION

4.1 Students will follow a program of study and research which will satisfy the degree requirements of their Home Institution including all requirements for the Joint Degree Program outlined in Appendix A.

4.2 The parties will provide an adequate orientation as well as ongoing advice and support to Students. At UAlberta, the relevant faculty will take the lead on assisting Students.

4.3 Either party may at its sole discretion require a Student to withdraw from the Joint Degree Program. If required to withdraw from the Joint Degree Program the Student may be able to return to their studies at the Home Institution in the same doctoral program and receive appropriate credit for work done at the Collaborating Institution while in the Joint Degree Program.

4.4 A Student may withdraw at any time from the Joint Degree Program and return to their studies at the Home Institution. The Student will receive appropriate credit for work completed at the Collaborating Institution while in the Joint Degree Program.

4.5 Upon successful completion of the doctoral degree requirements of the Home Institution and any additional requirements for the Joint Degree Program, the Home Institution will confer on the Student the relevant degree of that Institution for which the student qualifies. The Liaison Officer at the Home Institution shall inform the Liaison Officer at the Collaborating Institution of the same.

4.6 The designations on the Student’s academic record will include the following:
   a. The UAlberta transcript notation will read: “Participating in a shared credential program offered jointly by this university and Indian Institute of Technology Kanpur.”
   b. The UAlberta parchment notation will read “having completed all the statutory requirements of the program offered jointly by the University of Alberta and the Indian Institute of Technology Kanpur has been granted the degree of [Official Degree Name] and awarded all the rights and privileges pertaining to this degree”.
   c. IITK parchment notation will read: “The Board of Governors of the Indian Institute of Technology Kanpur upon the recommendation of the Senate hereby confers on <Student Name> the Degree of Doctor of Philosophy jointly with University of Alberta A sample degree is attached at the end of this document.”

5 FEES AND FINANCIAL MATTERS

5.1 The Joint Degree Program uses an exchange model for fees: for the duration of their participation in the Program, Students must pay to their Home Institution full tuition and all other required fees associated with full-time registration in the doctoral program to which they have been admitted. Students will pay no application or tuition fees at the Collaborating Institution.

5.2 Other mandatory or non-instructional fees at the Collaborating Institution may require payment.
   a. While resident at UAlberta, all Students must pay non-instructional fees to UAlberta for health and dental coverage (UHIP) and a public transportation pass (U-Pass).
   b. Where resident at IITK, all Students must pay non-instructional fees to IITK for health coverage.

5.3 Students must have sufficient personal funds to cover any and all expenses not covered by either institution as detailed in this Agreement. Such expenses include:
   a. Tuition and other fees required by their Home and Collaborating Institution;
   b. Cost of living (including rent, food, and health care costs);
   c. Recreation;
   d. Travel and transportation, including travel insurance;
   e. Text books and school supplies; and
   f. All necessary immigration documents.
5.4 For periods Students spend at the Collaborating Institution, the Collaborating Institution will provide a doctoral fellowship, through funding within their own institution and/or from third parties. The fellowship will be at least sufficient to cover accommodation and meals.

5.5 Specific funding arrangements for each Student must be agreed to in a separate written document or documents. At UAlberta, for incoming students, the host department must submit this funding documentation to the Faculty of Graduate Studies and Research along with the admission documentation. At IITK, for the incoming students, the host department/center must submit this funding documentation to the Academic Section.

6 HOUSING

6.1 Each Collaborating Institution shall endeavour to provide information to Students regarding housing options, the cost of which must be paid by the Student.

7 REGULATIONS GOVERNING STUDENTS

7.1 During their participation in the Joint Degree Program Students will be bound by the rules, regulations, and codes of conduct of the university or universities at which they are registered.

7.2 Notwithstanding the above, both institutions will work collaboratively to resolve any conflicts between Students and their supervisors.

8 INTELLECTUAL PROPERTY

8.1 At the outset of a Student’s program, the parties will work together to establish in writing through a separate agreement all necessary arrangements regarding the ownership and protection of any intellectual property generated as a result of any research conducted by the Student during the program. In addressing any such intellectual property arrangements, the parties shall involve the Student, have regard for any policies and procedures in place at each institution, and ensure the Student is provided with a copy of the final written arrangements.

8.2 Notwithstanding anything else in this Agreement a Student shall own the copyright in their thesis.

8.3 The parties shall have the right to determine the commercial exploitation and disposition of such intellectual property, and both the parties shall make joint applications for the registration of the same.

9 ACCESS TO INFORMATION AND PRIVACY LEGISLATION

9.1 The parties acknowledge that UAlberta is a public body subject to the Freedom of Information and Protection of Privacy Act (Alberta) (“FOIP”). For further information see uab.ca/ipo. The parties agree to use any personal information exchanged for the purposes of this Agreement only for the purposes of administering this Joint Degree Program.

9.2 No personal information related to any Student will be released by UAlberta to the other party without the Student’s prior written consent except as permitted by law. Such consent will be obtained through the Shared Credentials Initial Approval Form (see ualberta.ca/graduate-studies/prospective-students/apply-for-admission/shared-credential-applications) or in such other form as UAlberta may provide from time to time.

10 CONFIDENTIALITY

10.1 Each party who receives any information from the other marked as “Confidential” (“Confidential Information”) must take reasonable steps to protect its confidentiality, must not disclose to any third party such Confidential Information without the prior written consent of the other party, and agrees to only use such Confidential Information for the purposes contemplated in this Agreement.

10.2 For the purposes of this Agreement, Confidential Information does not include information that:
   a. is or becomes part of the public domain through no act of the receiving party;
   b. was in the receiving party’s possession before receipt from the disclosing party;
c. was rightfully received by the receiving party from a third party without a duty of confidentiality; or
d. is required to be disclosed under any applicable law or by order of a court.

11 DISPUTE RESOLUTION

11.1 Should any dispute arise from this Agreement the parties' Liaison Officers will attempt to resolve it through amicable discussion. In the event the Liaison Officers are unable to resolve the matter within 60 days of referral, or such additional time as agreed to by the parties, the dispute shall be referred to the Director of IITK and the Provost and Vice-President (Academic) of UAlberta.

12 GENERAL TERMS AND CONDITIONS

12.1 Amendment – This Agreement may only be amended in writing signed by both parties.

12.2 Survival – All provisions contained in this Agreement which by their nature or the terms thereof require their performance by the parties after the expiration or termination of this Agreement shall continue in full force and effect following such expiry or termination.

12.3 Counterparts - The parties may sign this Agreement in counterparts, each of which being an original. Such counterparts will together constitute one and the same agreement.

12.4 Entire Agreement - This Agreement, including Appendix A, constitutes the entire agreement and understanding between the parties with respect to the subject matter of this Agreement and replaces all earlier agreements and discussions between the parties.

12.5 Relationship - Nothing in this Agreement shall make the relationship between the parties one of partnership, joint venture, or employment. Nothing in this Agreement constitutes authority for one party to make commitments which bind the other party or to otherwise act on behalf of such other party.

12.6 No Assignment - No part of this Agreement may be assigned by either party without the consent of the other party.

12.7 Use of Identifying Names and Marks - Neither party will use, nor shall it permit any person employed by it to use, identifying marks of the other party other than with the written consent of such other party which may be arbitrarily withheld.

12.8 Force Majeure - Neither Party shall be deemed to be in default of its obligations under this Agreement if and for as long as any delay or non-performance is directly or indirectly caused by or results from events of force majeure beyond the control of that party. These events shall include but not be limited to government restrictions and/or limitations, strikes, civil disturbances, war, fire, act of God, epidemics, and pandemics.

12.9 Governing Law and Forum – In case any dispute is not resolved amicably between the parties, the dispute may be referred by either party to arbitration to be conducted in accordance with the United Nations Commission on International Trade Law ("UNCITRAL") Arbitration Rules. Arbitration proceedings shall have exclusive jurisdiction regarding the dispute, shall be binding, and shall not be subject to appeal. Arbitration proceedings shall be conducted in English. The number of arbitrators shall be one (1).

13 COMING INTO FORCE AND TERMINATION

13.1 This Agreement comes into effect on the date on which it has been signed by the last of the parties and will continue in effect for a period of three (3) years or until terminated in accordance with the provisions of this Agreement.

13.2 The parties may terminate this Agreement by written agreement. Alternatively, either party may terminate this Agreement by giving twelve (12) months' written notice of termination to the other party.

13.3 If this Agreement is terminated or not renewed the parties agree that any Students accepted by the Collaborating Institution will be given reasonable time to complete their studies.
APPENDIX A

1 Application, Selection, and Admission of Students

1.1 Every Student must meet the normal admission requirements, including any language requirements, of both parties for the relevant degree programs.

2 Joint Degree Program Requirements and Administration:

2.1 Physical Residency Requirements:
   a. Students must spend at least four (4) months physically attending their Home Institution while registered in the relevant doctoral degree before attending the Collaborating Institution.
   b. It is expected that students will successfully complete all their required courses and their candidacy exam at their Home Institution before visiting the Collaborating Institution, unless a different set of courses at the other Collaborating Institution is approved by the Student's supervisory committee.
   c. Students must spend at least six (6) months and a maximum of twelve (12) months at the Collaborating Institution doing research related to their doctoral program which will be counted toward the residency requirements of their Home Institution. Periods cumulatively exceeding twelve (12) months at the Collaborating Institution must be discussed and mutually agreed upon in writing by both parties.

2.2 Academic Program Requirements: Students will follow a program of study and research which shall satisfy the normal degree requirements of their Home Institution.

2.3 Ethics Requirements: In accordance with UAlberta policy, every Student must complete UAlberta's ethics and academic integrity training requirements set by the UAlberta Faculty of Graduate Studies and Research ("FGSR"). Further information regarding those requirements can be found here: [www.ualberta.ca/graduate-studies/professional-development/ethics/index.html](http://www.ualberta.ca/graduate-studies/professional-development/ethics/index.html). Additionally, Students must follow all UAlberta policies and procedures relating to research involving either human participants or animals.

2.4 Professional Development Requirement: In accordance with UAlberta policy, every Student must meet UAlberta's professional development requirements as set by the UAlberta FGSR. Further information regarding those requirements can be found here: [www.ualberta.ca/graduate-studies/professional-development/professional-development-requirement/index.html](http://www.ualberta.ca/graduate-studies/professional-development/professional-development-requirement/index.html).

2.5 Supervision: every Student in a thesis-based program must have a supervisor at each institution while in the Joint Degree Program.

3 Doctoral Supervisory Committee

3.1 Every Student must have a supervisory committee comprised of at least three (3) members, including the supervisors from both parties.

3.2 At the end of each academic year the supervisory committee will review the Student's progress.

4 Doctoral Candidacy Examination

4.1 Students whose Home Institution is UAlberta must pass a doctoral candidacy examination in accordance with UAlberta policies before they can proceed to final thesis defense.

4.2 Students whose Home Institution is IITK must pass a doctoral candidacy examination in accordance with IITK policies before they can proceed to final thesis defense.

5 Final Oral Examination

5.1 Every Student in a thesis-based program must successfully prepare and defend a thesis before an examining committee that is set up in accordance with the relevant policies of the Student's Home Institution unless otherwise stated in this Agreement. The Student must complete the thesis defense at their Home Institution with all supervisors attending in person or by teleconference.
### APPENDIX B

#### SCHEDULE A
Joint Degree Project Details

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<tr>
<th>1. JDP Scholar Details</th>
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<tbody>
<tr>
<td>Family Name</td>
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<td>Given Name</td>
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<th>2. Institutions</th>
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<tr>
<td>(a) Home Institution</td>
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<td>i. Student No. /Roll No. at Home Institution</td>
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<td>ii. Enrolment Status at Home Institution</td>
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<tr>
<td>If Enrolled list enrolment date:</td>
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<tr>
<td>iii. Academic Unit (Faculty/School/Department)</td>
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<tr>
<td>iv Supervisor</td>
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<tr>
<td>Name</td>
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<tr>
<td>Position</td>
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<td>Full Contact Details</td>
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<th>v. Designated Officer</th>
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<td>Position</td>
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<td>Full Contact Details</td>
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<tr>
<th>(b) Partner Institution</th>
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<tbody>
<tr>
<td>i. Student No. /Roll No. at Partner Institution</td>
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</table>
### ii. Application Status at Partner Institution
- Applied/Offered/Accepted

### iii. Academic Unit (Faculty/School/Department)

### iv. Supervisor

- **Name**
- **Position**
- **Full Contact Details**
  - Email:
  - Phone:

### v. Designated Officer

- **Name**
- **Position**
- **Full Contact Details**
  - Email:
  - Phone:

#### 3. Proposed Research

(a) Proposed Thesis Title

(b) Research Topic Description
   (Maximum 2 Pages)
   - Attach Separate document

#### 4. Proposed Dates

(a) Commencement of Concurrent Enrolment

(b) Expected Dates at:
   - **Note:** A minimum of 12 months must be spent at each institution.

- i. Home Institution
- ii. Partner Institution

#### 5. Financial Commitments
- **Detail who will provide (Home/Partner/JDP Scholar)**
(a) Scholarship living stipend
   i. Name of Scholarship

   ii. Institution providing Scholarship (Home/Partner)

   iii. Amount

(b) Tuition Fee
(c) Health Insurance
(d) Travel


(a) Details of Ethics approvals
   i. Home Institution
   ii. Partner Institution

7. Special Conditions

8. Name of Award to be Conferred
   i. Home Institution
   ii. Host Institution

Signed for and on behalf of

UNIVERSITY OF ALBERTA by

Signature

Name

Date

INDIAN INSTITUTE OF TECHNOLOGY KANPUR by

Signature

Name

Date
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<tr>
<th>Signature</th>
<th>DPGC</th>
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**Host Institution Supervisor:**
I confirm that the particulars of this Schedule A are correct and hereby agreed.

Signature: .................................................. Date: ........................................

Full Name (Please Print): ..............................................................

**Home Institution Supervisor:**
I confirm that the particulars of this Schedule A are correct and hereby agreed.

Signature: Date:

Full Name (Please print): ..............................................................

**Program Student:**
I confirm that the particulars of this Schedule A are correct and hereby agreed.

Signature: Date:

Full Name (Please print): ..............................................................
THE BOARD OF GOVERNORS
OF THE
INDIAN INSTITUTE OF TECHNOLOGY KANPUR
UPON THE RECOMMENDATION OF THE SENATE
HEREBY CONFER ON
<ENGLISH NAME>
THE DEGREE OF
DOCTOR OF PHILOSOPHY
JOINTLY WITH <NAME OF UNIVERSITY>
WITH ALL HONOURS PRIVILEGES AND OBLIGATIONS THEREUNTO PERTAINING
GIVEN AT KANPUR ON THIS TWENTY NINTH DAY OF JUNE
TWO THOUSAND TWENTY TWO.
The parties have signed this Agreement on the dates indicated below.

Signed for and on behalf of
INDIAN INSTITUTE OF TECHNOLOGY KANPUR

Dr. Abhay Karandikar
Director
Date: Jan 18, 2023

Dr. Dhirendra S. Katti
Dean of International Relations
Date: Jan 18, 2023

Signed for and on behalf of
THE GOVERNORS OF THE
UNIVERSITY OF ALBERTA

Prof. William Flanagan
President and Vice-Chancellor
Date: Jan 17/2023

Dr. Cen Huang
Vice-Provost and
Associate Vice-President (International)
Date: Jan 18/2023