

EFFICIENCY OF MICROPILE FOR SEISMIC RETROFIT OF FOUNDATION SYSTEM

YAMANE TAKASHI¹, NAKATA YOSHINORI² And OTANI YOSHINORI³

SUMMARY

Micropile is “drilled and grouted pile” with steel pipes which diameters is less than 300mm and driven by boring machine, featuring small diameter with thick wall and mechanical joints with couplers not welding. The advantages of this system are practicable with small space, cost effective and less construction noise and vibration.

JAMP (Japanese Association of Micropile) have conducted laboratory tests and field tests for Micropile system to confirm feasibility and efficiency of micropile system for seismic retrofit of foundations in Japan. This paper presents the results of these studies.

INTRODUCTION

After Kobe Earthquake, many seismic retrofit programs for bridge superstructures and substructures have been conducted in Japan. However, Very few foundations have been retrofitted for following reasons;

- 1) Construction space for pile driving machine is not enough in many cases
- 2) Seismic resistance of retrofitted foundations is not clear
- 3) Foundation retrofit is costly

Micropile is “drilled and grouted pile” with steel pipes which diameters is less than 300mm and driven by boring machine, featuring small diameter with thick wall and mechanical joints with couplers not welding (Fig.1). The advantages of this system are practicable with small space, cost effective and less construction noise and vibration. Laboratory tests and field tests were conducted for confirmation of feasibility and efficiency of Micropile system for seismic retrofit of structural foundation. This article presents the outline of the tests and discusses the test results.

Micropile system is widely used for structural foundation and soil reinforcement in Europe and for some bridge retrofit project in the USA.

OUTLINE OF TEST SETUP AND PROCEDURE

Laboratory tests for pile members

The tests were performed to clarify bending deformation characteristics of grouted steel pipes for oil wells with small diameters and thick walls, used as a part of high-capacity micropiles reinforced with steel pipes, and to obtain data used for analyses and design of high-capacity micropiles subjected to horizontal forces. The tests consisted of preliminary tests performed for confirmation of specimens and test apparatus, Series I focusing on

¹ Engineering Div., Kyokuto Corporation, 374, Yamabuki-cho, Shinjuku-ku., JAPAN, E-mail: tyamane@highway.co.jp

² Engineering Div., Kyokuto Corporation, 374, Yamabuki-cho, Shinjuku-ku., JAPAN, E-mail: nakata@kkk.knc.co.jp

³ Reinforced Earth Div., Hirose & Co., LTD., JAPAN E-mail: yotani@pearl.ocn.ne.jp

the ultimate strength and stiffness of composite members consisting of seamless steel pipes used for oil wells, grout and thread-lugged bars, and Series II focusing on the ultimate strength and stiffness of composite members incorporating coupling joints for the steel pipes. Table 1 shows the types of specimens used for alternating horizontal loading tests, Table 2 shows the specifications for composite materials, and Fig.2 shows a schematic diagram of the specimen. Apparatus for the loading tests consists of actuators, a vertical jack and an abutment test wall. (See Fig.3) The maximum horizontal force for the loading test was 500 kN, and strokes for the jack were within ± 150 mm. The tests did not involve any axial loading. The alternating loads were determined assuming the yielding displacement of steel pipes as 1δ , and the loads resulting in displacements in the positive and negative directions that are integral multiples of it (i.e., 2δ , 3δ , 4δ , and so on) were applied. The measured items for the loading tests included the load, horizontal displacement of the steel pipe, and strain (of the steel pipes, reinforcement and grout).

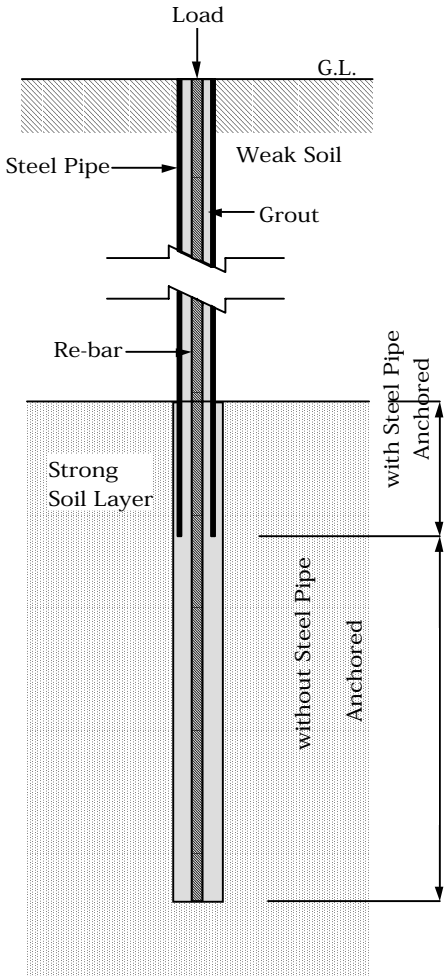


Fig. 1 Micropile system

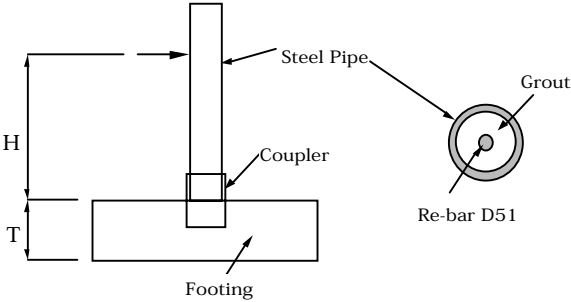


Fig. 2 Typical specimen

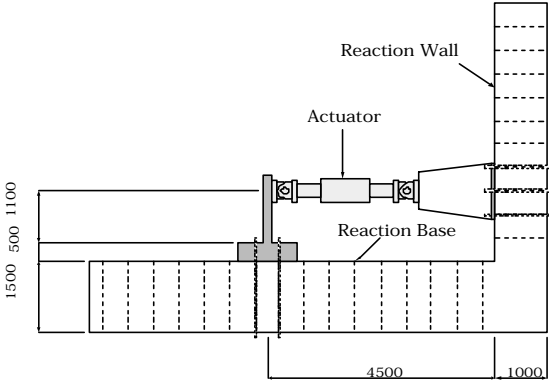


Fig. 3 Test setup

Table 1 Types of specimens

Series	Specimen	Re-bar	Grout	Coupler
Pilot test	1	○	○	—
I	2	×	×	—
	3	○	○	—
	4	○	○	—
	5	○	○	—
	6	○	○	—
II	7	○	○	○

Table 2 Material Properties

Steel pipe	O.D.= 178mm
	Thickness=12.6mm
	$f_{pu}=579N/mm^2$
Re-bar	SD490, D51
Grout	$F_c=30N/mm^2$
Footing	$F_c=40N/mm^2$

Field tests of vertical compression loading and alternating vertical loading

Field tests of vertical compression loading (Fig.4) and alternating vertical loading (Fig.5) were performed for micropiles placed through a soft silt layer and supported on a hardpan layer, in order to assess the structural strength of anchorage zones of micropiles, and the ultimate skin friction stress working between the grouting material and ground in a vicinity of the anchorage zones of steel pipes. Table 3 and Fig.6 show the specifications of the placed micropiles and the test apparatus, respectively. On the test piles, strain gauges were attached around the steel pipes and thread-lugged bars as shown in the figure, so as to enable confirmation of axial force distribution at different depths.

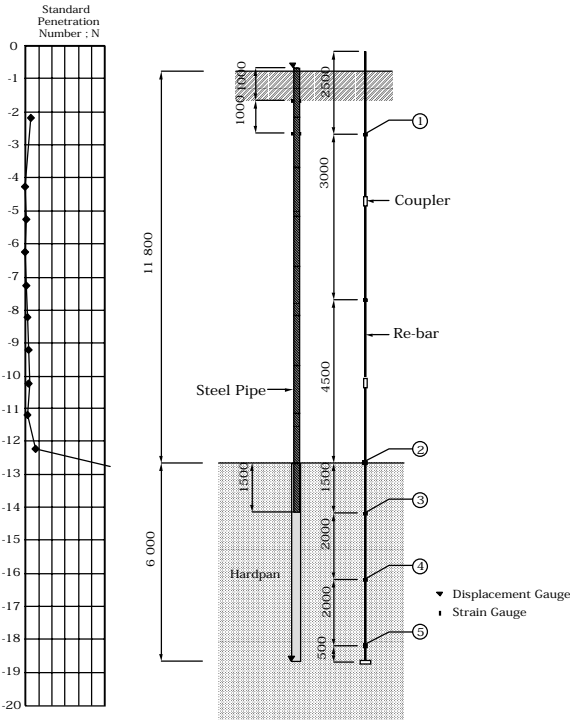


Fig. 4 Properties of soil and test piles

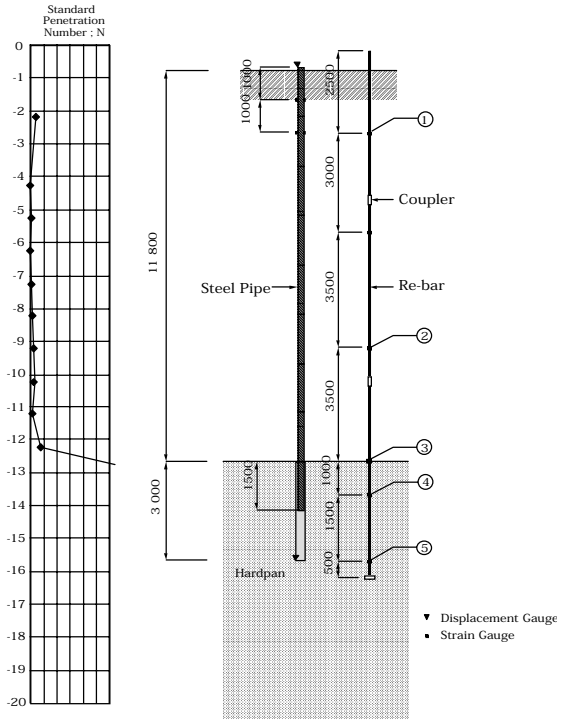


Fig. 5 Properties of soil and test piles

Table 3 Properties of test piles

Type of Testing	Vertical Loading Test
O.D./Thickness	Ø 177.8mm/12.7mm
Re-bar	D51/SD490
Compressive Strength of Grout	≥ 35N/mm ² (W/C=45%)
O.D.of Anchorage Zone	Ø 200mm

The maximum load applied for the vertical compression loading test was 3,600 kN, and loading was performed for 6 cycles in order to confirm the ultimate load. Strain gauges were attached on the reinforcement and steel pipes (Fig.4), in order to confirm axial force distribution at different depths.

In the alternating vertical loading tests, 4 cycles of compression and pull-out loads were applied alternately up to 800 kN using the loading apparatus shown in Fig.6. Then, after reaching the ultimate pull-out resistance, the load was increased further up to the ultimate load on the compression side.

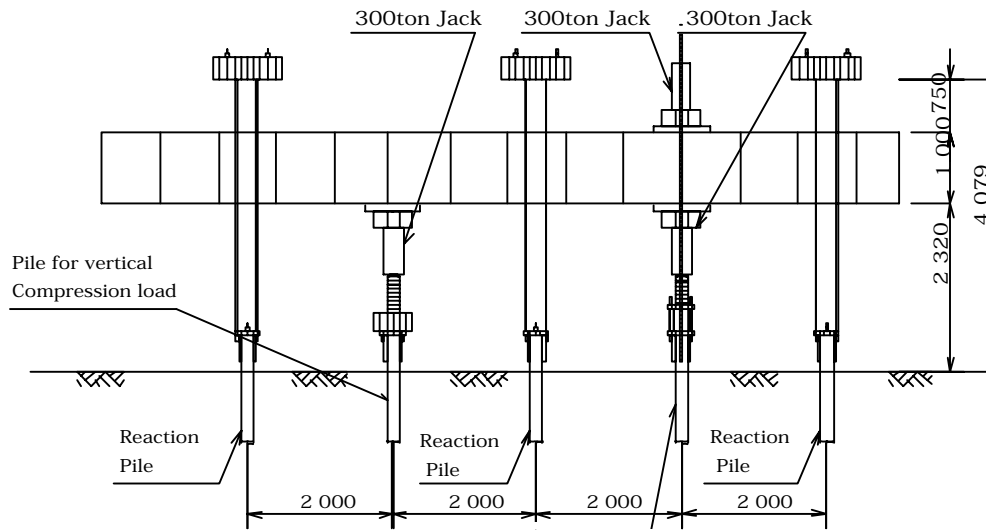


Fig. 6 Test setup

RESEARCH FINDINGS AND DISCUSSION

Laboratory test of pile members

Series I

The loading point for the test series I was set at 1.0 m above the top surface of footings. Loading tests for the composite materials were performed for 3 specimens (the specimens Nos. 3 through 5). The loading test was performed for the specimen No. 2 provided only with a steel pipe, so as to allow comparison of results with the composite materials. Fig.7 shows the relationship between loads and horizontal displacement for the specimen No. 4, as an example of the load-horizontal displacement relationship for composite materials. Fig.8 shows the load-horizontal displacement relationship (envelopes) at loading points for the specimens used in the Series I tests. Alternating loads were applied to the maximum stroke of actuators (6δ), but the specimens withstood the loads as their ductility was large. Fig.9 shows the relationship between bending moment and curvature (the $M-\phi$ relationship) derived from their measurements. The results revealed approximately 20 % more bending stiffness for the composite members compared to the cases with plain steel pipes only. The increase is believed to be attributed to the effects of grout materials, thread-lugged bars, and reinforcing bars.

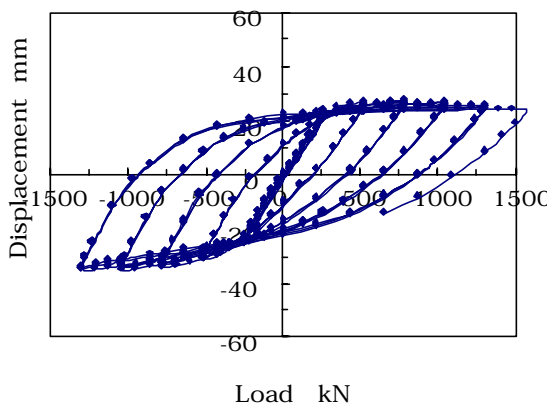


Fig.7 Load-displacement relationship (Specimen 4)

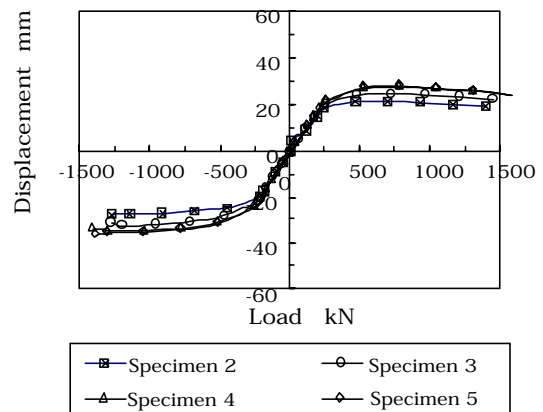


Fig. 8 Load-displacement relationship

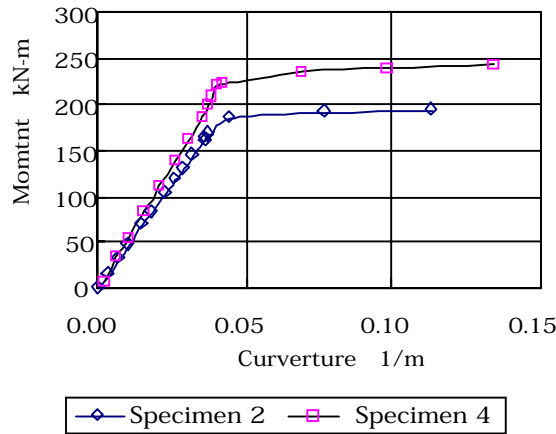


Fig. 9 M-φ relationship

Series II

The loading points for the Series II tests were shifted to 0.8 m from the top surface of footings, since the specimens did not rupture during the Series I tests. Loading tests were also performed for the specimen No. 6 which is a composite member, so as to enable comparison of results with specimens with a coupling joint. Fig.10 shows the load-horizontal displacement relationship for the specimen No. 7 having a coupling joint. The steel pipe of the specimen No. 6 failed at 7d, and the coupling joint of the specimen No. 7 at 4d. Fig.11 shows the load-horizontal displacement relationship (envelopes) at the loading points of specimens used in the Series II tests. As can be seen from Fig.11, the specimen No. 7 provided with a coupling joint had larger strength and stiffness compared to those of the composite members, although its deformation capacity was lower.

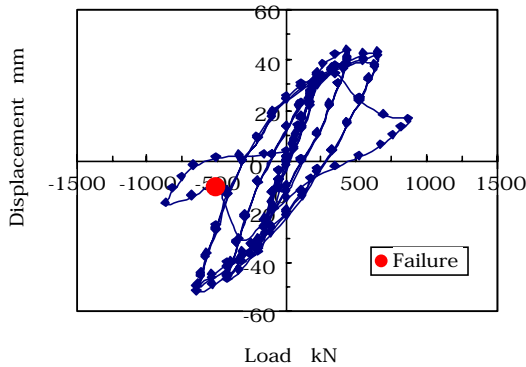


Fig.10 Load-displacement relationship (Specimen 7)

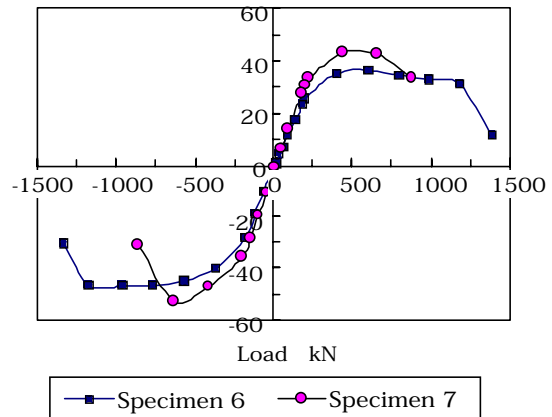


Fig.11 Load-displacement relationship

Field tests of vertical compression loading

Fig.12 shows envelopes for the load-settlement relationship measured at pile ends and tips. Settlement at pile ends were small for loads up to 3,200 kN, and settlement characteristics were those caused mainly by elastic deformation. The most of the settlement is believed to be resisted by skin friction at anchorage zones. On the other hand, the majority of approximately 30 mm of settlement at pile ends are believed to be caused by compression. Since the ultimate strength of piles was about 3,300 kN, they are believed to have failed while the load was increased from 3,200 kN to 3,400 kN due to compression failure of grouting materials. This was confirmed by the fact that the pile ends settled by loading thereafter rapidly to 55 mm, while the pile tips settled for a very small amount of 5 mm.

Fig.13 shows strain distribution of measurements obtained by strain gauges attached to reinforcing bars and steel pipes. Strain of reinforcing bars within the anchorage zone of non-steel pipe exceeded 2,600 μ . Considering that stress at the yield point of reinforcement used was 522 N/mm², it is likely that the reinforcement yielded and grouting material failed due to compression. The unconfined axial strength of grouting material on the day of the test was about 52 N/mm².

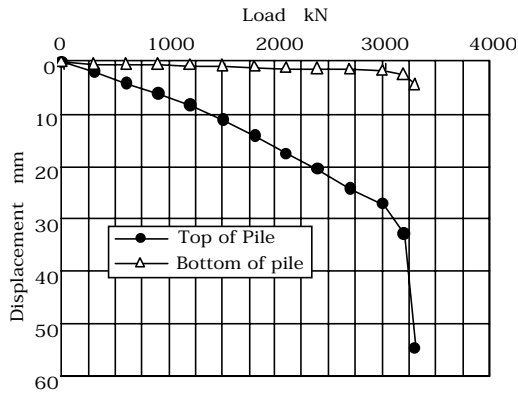


Fig.12 Load-displacement relationship

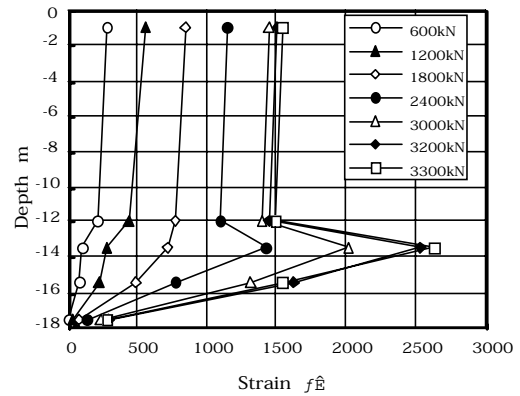


Fig.13 Strain distribution

Fig.14 shows axial strength distribution of the piles, calculated from the values of strain. The axial strength lowered drastically for depths below 12 m, indicating significant effect of skin friction at anchorage zones. Upon calculation of axial strength from the strain, the modulus of elasticity for both the steel pipes and reinforcement was assumed to be 2.0×10^5 N/mm², and that for the grouting material to be 2.0×10^4 N/mm², based on the results of unconfined compression tests on test pieces of the grouting material.

Fig.15 shows the relationship between the skin friction stress exerted between the grouting material and ground and relative displacement at the interface. The skin friction stress was derived based on the axial strength, assuming the effective diameter f of anchorage zone to be 200 mm. In the sections between the specimens Nos. 2 and 3 and Nos. 4 and 5, which consist largely of hardpan, the skin friction stress reached the maximum value for relative displacement between 4 and 8 mm, and decreased after the displacement reached 10 mm. On the other hand, skin friction stress in the section between the specimens Nos. 3 and 4 consisting mainly of fine sand increased along with the displacement, reaching 0.8 N/mm² at displacement of about 12 mm. Triaxial compression tests for the hardpan layer, or the supporting layer, showed its cohesion c to be 0.9 N/mm², indicating that the results of tests performed for this study correspond well with information obtained pertaining to the ground anchor method.

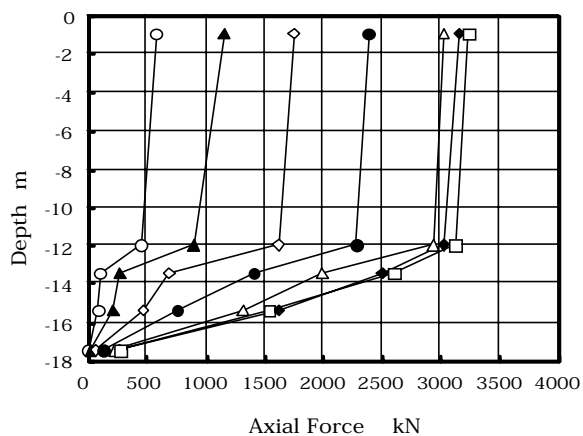


Fig.14 Axial force distribution

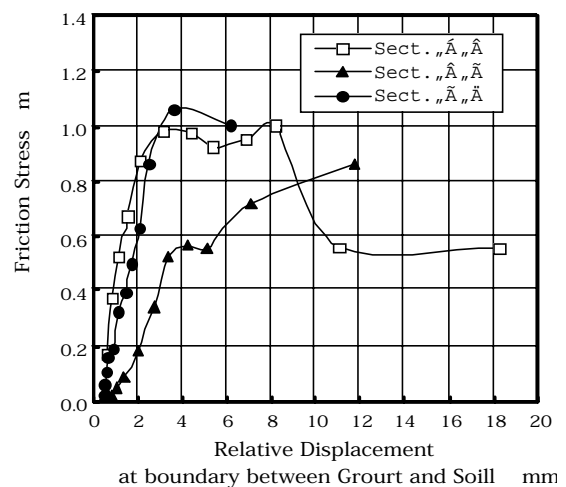


Fig.12 relationship between relative displacement and skin friction

Field tests of alternating vertical loading

Fig.16 shows the load-enveloped displacement relationship under alternating loads and the load-displacement relationship until reaching the ultimate bearing capacity, both as continuous curves. Under the alternating loads up to 800 kN, displacements at pile ends and tips both shifted while maintaining linear relations with the loads. Thereafter, the ultimate bearing capacity for the pile ends and tips were reached at 1,050 kN and 1,700 kN respectively, under monotonous loading of either pull-out or compression force. As shown, the test results indicated that micropiles subjected to hysteretic pull-out forces maintain design bearing capacity to withstand repeated compression force, thus confirming that they function effectively under ultimate cyclic loads.

On the other hand, deformation of the pile body showed slight non-linearity after loading 1,200 kN of compression force. It was surmised that partial break in bonding between the reinforcement and grouting material caused by pull-out, as well as non-linear strength characteristics of the grouting material, brought about lowering of the pile body stiffness. Furthermore, measured values of distortion fluctuated after the compression load reached the above mentioned value, indicating a sign of deterioration in the pile body integrity.

Fig.17 shows axial strength distribution of the pile body estimated based on the strain values, for each step of loading. As shown, frictional resistance of the soft ground (at measuring points 1 through 3) was minimal both under the compression and pull-out forces. As such, it was clear that the bearing capacity of test piles depends on frictional resistance at anchorage zones formed within the hardpan layer. Skin friction stress conditions shown in Fig.18 and 19 reveal that skin friction at embedded section of steel pipes (measuring points 3 and 4) reached greater values during initial loading for both the compression and pull-out forces, and that the load is conveyed mostly by frictional resistance within the section. As the load increased, the friction distribution shifted to cover the tip of the anchorage zone. However, the skin friction stress at the embedded section of steel pipes continued to increase linearly until the ultimate state was reached.

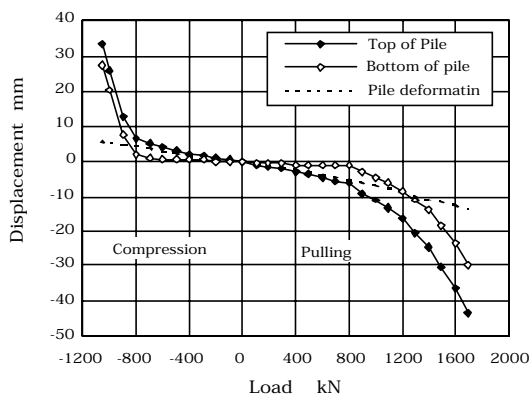


Fig.16 Load-displacement relationship

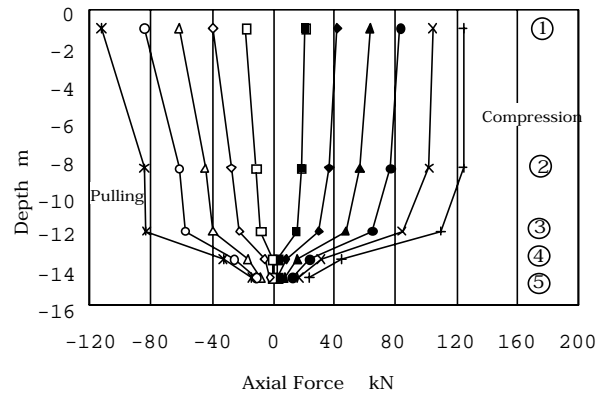


Fig.17 Axial force distribution

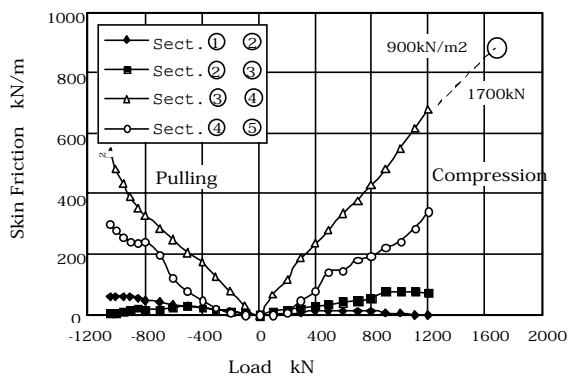


Fig.18 Relationship between load and skin friction

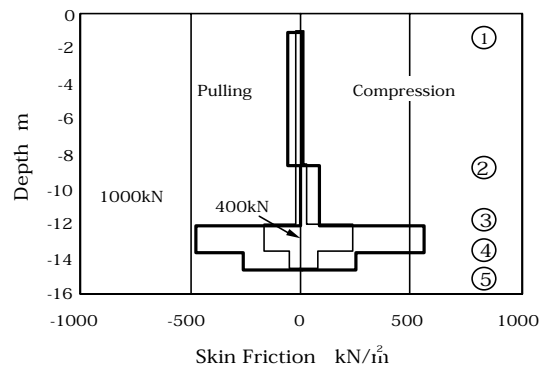


Fig.19 Skin friction distribution

On the other hand, the skin friction stress that reached the maximum value of 540 kN/m² under pull-out loads increased further under compression loads. Judging from the stress generated under loads up to 1,200 kN, it was surmised that the actual maximum value would be about 900 kN/m². This value is equivalent to that confirmed

by vertical loading tests conducted under the same ground conditions. The differences in skin friction characteristics observed for different loading directions may be attributed to lateral deformation of the pile body and break in the bond between reinforcement and grouting material. The results of loading tests conducted for the study, however, have not yet clarified this issue.

CONCLUSION

The following information was obtained through the tests conducted for the study.

- 1) The pile body possesses sufficient bending deformation capacity, and the specimens with a coupling joint yielded strength and stiffness values larger compared to those of composite members. Thus, the pile design was deemed structurally sound.
- 2) The ultimate compression bearing capacity of a micropile having 6-m long anchorage length supported on a hardpan layer with q_u of about 2 N/mm^2 was 3,300 kN.
- 3) The pile failed when the reinforcement in anchorage zone of a non-steel pipe yielded and grouting material ruptured due to compression force.
- 4) The ultimate skin friction stress in the hardpan layer was approximately 1.0 kN/mm^2 . For cohesive soil, the value may be obtained assuming that f_u (the ultimate skin friction stress) equals the cohesion of the soil, as is in cases with ground anchor methods.
- 5) In some cases the strength of micropiles reinforced with steel pipes is governed by the compression strength of anchorage zone of a non-steel pipe. In such cases, the skin frictional resistance of the steel pipe anchorage zone may be added as compression strength of the pile body.
- 6) Compression strength of non-steel pipe anchorage zone is governed by the stress at yield point of reinforcing bars (if SD 490 is used). At this time, the portion of a load borne by the grouting material is estimated as 50 N/mm^2 in terms of stress, which was almost equal to the unconfined compression strength of 52 N/mm^2 obtained for test pieces of the grouting material.
- 7) Micropiles that were subjected to hysteretic pull-out loading maintained high bearing capacity to withstand compression load applied thereafter.
- 8) Distribution of skin friction at anchorage zones showed the greatest values at embedded section of steel pipes, both under the compression and pull-out forces. The ultimate skin friction stress under pull-out force was about $2/3$ of that under compression force.

With respect to bending properties of buried micropiles, it is necessary to accumulate data obtained from field horizontal loading tests, etc., in order to clarify interactions between the modulus of subgrade reaction and bending stiffness of the pile body. It should also be noted that data on bearing capacities obtained in the study may differ if the ground properties around anchorage zones and construction situations differ. Thus, it is necessary to conduct similar tests under various conditions in order to accumulate relevant data for establishment of calculation methods for bearing capacity and determination of safety factors.