By Registered Post

No.11/510/2009-TU-V

Date: March 30, 2012

The Director
Indian Institute of Technology,
P.O. IIT Kanpur
Kanpur - 208016
Uttar Pradesh

Subject: Renewal of Recognition of Scientific and Industrial Research Organisations (SIROs).

Dear Sir/Madam,

This has reference to your application for renewal of recognition of Indian Institute of Technology, Kanpur as a Scientific and Industrial Research Organisation (SIRO) by the Department of Scientific and Industrial Research under the Scheme on Recognition of Scientific and Industrial Research Organisations (SIROs), 1988.

2. This is to inform you that it has been decided to accord renewal of recognition to Indian Institute of Technology, Kanpur from 01.04.2012upto 31.03.2015. The recognition is subject to terms and conditions mentioned overleaf.

3. Receipt of this letter may kindly be acknowledged.

Yours faithfully,

(KVSP Rao)
Scientist - ‘G’
TERMS AND CONDITIONS FOR RECOGNITION OF
SCIENTIFIC & INDUSTRIAL RESEARCH ORGANISATIONS (SIROs)

01. The organisation should acknowledge receipt of the recognition letter by stating that they will abide by the terms and conditions of recognition.

02. The recognition will entitle the SIRO to receive such administrative support from the DSIR, Ministry of Science & Technology as may be required on issues to promote or encourage scientific research activities.

03. SIROs recognised by DSIR are also deemed to be registered. A separate certificate of registration** is issued along with the recognition letter. The recognition would be valid for the period specified in the recognition letter and application for renewal of recognition shall be submitted in the prescribed proforma at least 3 months before the expiry of the valid recognition. Failure to submit application in time may lead to automatic lapping of the registration & recognition.

**However, the certificate of registration is not issued to SIROs engaged in activities falling within the definition of 'hospital' as per notification No. 51/96-Cus dt.23.07.1996 and No. 10/97-central excise dt. 01.03.1997 issued by the Department of Revenue.


05. The registration will entitle the SIROs to avail custom/excise duty exemption on purchase of equipment, instruments, spares thereof, consumables etc. used for research & development subject to relevant Government policies in force from time to time. Such exemptions will have to be separately applied for in the prescribed formats. The SIROs should also abide by the terms & conditions of the customs & central excise notifications issued/amended from time to time.

06. Separate books of accounts shall be maintained by the SIRO for research & development activities and the R&D expenditure, both capital and recurring should be reflected in the Annual Report and Statement of Accounts of the organisation in separate schedules.

07. Disposal/sale of equipment and products/prototypes/intermediates, if any, emanating from the R&D/pilot plant, should be intimated to DSIR immediately. The realisation if any, from above or any services rendered etc. shall be shown in the R&D accounts of the organisation as income of the SIRO in the audited accounts as well as annual report and should be used or reinvested for research activities only. In case of disposal/sale of R&D equipment, clearance from customs/excise authorities will also be required in view of the applicable notifications under which the equipment was imported/purchased in India.

08. Accelerated depreciation allowance as per Rule 5(2) of Income Tax Rules 1962 will be available on investments on plant & machinery by any industrial unit which has made these investments for the purpose of commercialisation of technology/know-how acquired from a SIRO recognised by DSIR.

09. Brief summary of the achievements of the organisation shall be submitted to the DSIR every year. This should include details related to papers published, patents obtained and process developed, new products introduced, awards & prizes received, copy of the Annual Report and Statement of Accounts of the Organisation etc. List of equipment, instruments, parts and consumables imported/purchased using the duty exemption should also be submitted to DSIR along with the annual report.

10. Any violation of the terms & conditions mentioned above and/or provisions of taxation in force will make the organisation liable to de-recognition.

11. The organisation will also conform to such other conditions for recognition stipulated in the Guidelines or as may be specifically provided in the recognition letter.

* * * * *
Fax No. 2597659

No. TU/V/RG-CDE(18)/2011

Dated: 10th October, 2011

To

The Registrar
Indian Institute of Technology
IIT K Post Office
Kanpur – 208 016
(U.P.)

Subject: Renewal of registration of Public Funded Research Institutions or a University or an Indian Institute of Technology or Indian Institute of Science, Bangalore or a Regional Engg. College, other than a Hospital, for the purposes of availing customs/Central Excise duty exemption in terms of Govt. notification No.51/96-Customs dated 23.7.1996 and Central Excise Duty Exemption in terms of Govt. notification No.10/97-Central Excise dt.01.03.1997 as amended from time to time.

With Reference: Your application dated March, 2011 on the above subject, this is the certificate of registration.

CERTIFICATE OF REGISTRATION

This is to certify that Indian Institute of Technology, Kanpur (U.P.) is registered with the Department of Scientific & Industrial Research (DSIR) for purposes of availing customs duty exemption in terms of Government Notification No. 51/96-Customs dated 23 July, 1996 and Central Excise duty exemption in terms of Government Notification No. 10/97-Central Excise dated 1 March, 1997 as amended from time to time. The registration is subject to terms and conditions mentioned overleaf.

This registration is valid from 01.09.2011 to 31.08.2021, subject to review of your research activities by Department of Scientific & Industrial Research (DSIR) in the year 2016. The institution should submit the required information to DSIR for review purpose before 31.08.2016. Non-compliance of this will automatically cancel this registration on 31.12.2016. This issues with the approval of DSIR’s Competent Authority.

Please acknowledge the receipt.

Yours faithfully,

Kamini mishra
(K. Mishra)
Scientist ‘F’
Terms and conditions for registration of public funded research institutions, etc., other than a hospital for the purposes of availing customs/central excise duty exemption in terms of Govt. notifications no.51/96-customs dt.23.7.1996 and no.10/97-central excise dt.1.3.1997 as amended from time to time.

01. The institution should acknowledge receipt of the registration letter by stating that they will abide by the terms and conditions of registration.

02. The registration would be valid for the period specified in the registration letter**. Request for renewal of registration shall be made in the prescribed proforma, at least 3 months before the expiry of the valid registration. Applications received late may not be considered.

** However, certificate of registration is not valid for activities falling within the definition of 'hospital' as per notification no. 51/96-Customs dated 23.07.1996 and No. 10/97-Central Excise dated 01.03.1997 issued by the Department of Revenue. The institutions are cautioned to go through the notifications before availing duty exemptions under these notifications.

03. Brief summary of the R&D activities, status of on-going projects and achievements of the institution shall be submitted to the DSIR at the end of 5(five) years, in case of institution where validity of registration is 10(ten) years. This should include details related to papers published, patents obtained and processes developed, new products introduced, awards & prizes received and copy of the latest Annual Report.

04. The institution should have a broad based research advisory committee (RAC), which should meet at regular intervals for approving, guiding and monitoring the ongoing and future research projects.

05. The institution should have separate budget for research. The institution should utilise the duty exemption facility as per the above-mentioned notifications, for research purposes only. Non-research requirement such as the one for service activities, teaching, training, patient care, etc. should not be procured availing the facility.

06. The institutions should introduce a chapter in its Annual Report dealing with the research & development work. This could contain the on-going research projects, achievements during the year, publications, patents if any, etc. The R&D income & expenditure should be separately shown in an annexure/schedule in the statement of accounts in the Annual Report.

07. The registration will entitle the institutions to avail customs/excise duty exemption on purchase of equipment, instruments, spares thereof, consumables etc. used for research & development subject to relevant Government policies in force from time to time. Such exemptions will have to be separately applied for in the prescribed formats. The institutions should also abide by the terms & conditions of the customs & central excise notifications issued/amended from time to time.

08. In case of disposal/sale of R&D equipment, clearance from customs/excise authorities will also be required in view of the applicable notifications under which the equipment was imported/purchased in India.

09. The institution should submit half-yearly returns of the imports and domestic purchases in the month of January and July every year in the proforma issued by DSIR along with details of items, their value & utilisation.

10. Any violation of the terms & conditions mentioned above and/or provisions of taxation in force will make the institution liable to de-registration.

11. The institution will also conform to such other conditions for registration stipulated in the Guidelines, as may be specifically provided in the registration letter and notices placed on department official website (http://www.dsir.gov.in) from time to time.

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