Health Monitoring of High-Rise Steel Building Specimen During the E-defense Shake Test

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SUMMARY:
Earthquake-induced changes in story stiffness are investigated by using vibration records for small seismic motion and ambient vibration obtained in the E-defence shaking table test of a full-scale high-rise steel building specimen, which are expected to be employed as damage index for structural health monitoring. Two types of changes in story stiffness are observed in the first quake experiences when the specimen behaves elastically, and in the severe damage occurrence when the steel beams were fractured at the end near column connection. These two changes are clearly detected from both records of small seismic motion and ambient vibration. The latter damage-induced changes appeared in story stiffness and cumulative plastic ductility, however, those changes cannot be found in maximum drift angle commonly employed in seismic design. To establish the vibration-based damage detection, the discrimination scheme of the former and the latter changes must be needed to determine the difference between severe damage and non-severe damage, whose development is in the future problem.

Keywords: systems identification, damage detection, story stiffness, ambient vibration, beam rupture.

1. INTRODUCTION

For damage detection of a building subjected to a large earthquake or strong wind, detection of aging deterioration, structural health monitoring (SHM) is expected to judge whether the building can be continuously used or not. Vibration-based damage detection (VBDD) is promising for seismic diagnosis of buildings, where damage indicators such as modal parameter or stiffness characteristics are compared before and after a severe quake. Research and development of SHM and VBDD have been vigorously conducted in the resent research, which have improved sensor technology, data processing, and system identification. Such research progress is enormously beneficial for supporting the VBDD: however, the damage criterion is not established yet, which is necessary to judge whether the damaged building can be continuously used or not.

To develop a determination scheme of damage criterion, it is useful to investigate a set of lifetime records of an existing building including records before and after seismic experiences. Real data are most desirable; however, it might be difficult to obtain the real data: because there is very little chance that an existing building with vibration measuring equipment is damaged by strong ground motions. To gather useful records for the development on the damage criterion, the authors have conducted the VBDD of full-scale test specimens on the shaking table “E-defense” under the corporation of the Hyogo Earthquake Engineering Research Center of the National Research Institute for Earth science and Disaster prevention (e.g. Kanazawa et al., 2006; Kanazawa et al., 2010; Ono et al., 2011; Nagata et al. 2009a, 2009b, 2011). Using the vibration records on the E-defense test, this paper discusses the effect of earthquake experiences on story stiffness in a steel moment frame building.
In the seismic design of a steel moment frame building, it is often seismically designed such that vibrational energy is dissipated in the plastic hinges at beam ends; therefore, it is important to check the soundness of beam ends after a severe quake. This paper will focus on the failure detectability of beam ends in a steel moment frame specimen, utilizing the chance of a full-scale shaking table test in the E-defense project.

2. OUTLINE OF THE SHAKING TABLE TEST

2.1. Test Specimen

By the middle of this century, huge oceanic earthquakes are expected to hit the Pacific coast of Japan, which historically have occurred with the interval of a few hundred years. An earthquake of such type causes ground motion of long-period, long-duration and large velocity. The shaking table test conducted using E-defense to investigate the actual structural performance of a high-rise building for oceanic earthquakes is conducted as the research project promoted by the Ministry of Education, Culture, Sports and Technology in Japan (Nagae, et al., 2009; Chung, et al., 2010; Ji, et al., 2011).

The specimen of a steel structure was designed with the prototype of a 21-story steel moment building, as shown in Figure 1. The specimen consists of the four stories of the prototype steel building (lower portion) and a multi-layer mass-spring system on top (upper portion) to adjust the fundamental period of the specimen to the prototype. The lower portion of the specimen is one-bay and two-bay steel frame with four concrete slabs on the 2nd through 5th floors, and the basement is fixed on the shaking table. Two kinds of real partition walls are set on the 2nd and the 3rd floors to investigate their seismic performances and damage states, which are autoclaved-lightweight-concrete (ALC) wall on the 2nd floor, and light-gauge steel grid with plaster panel on the 3rd floor. The upper portion of the mass-spring system, on the other hand, is composed of three mass slab, natural rubber bearings (NRBs), and U-shaped steel dampers. The specification of the mass-spring system was designed so
Table 1. List of shaking table test.

<table>
<thead>
<tr>
<th>Test No.</th>
<th>Excitation wave (Amplitude Rate[%])</th>
<th>PGV[m/s]</th>
<th>PGA[m/s/s]</th>
<th>Duration [s]</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>X</td>
<td>Y</td>
<td>X</td>
</tr>
<tr>
<td>the first day: March 17, 2008</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1</td>
<td>White noise wave; W-1</td>
<td>0.06</td>
<td>0.06</td>
<td>0.74</td>
</tr>
<tr>
<td>2</td>
<td>White noise wave; W-2</td>
<td>0.11</td>
<td>0.12</td>
<td>1.65</td>
</tr>
<tr>
<td>3</td>
<td>White noise wave; W-3</td>
<td>0.17</td>
<td>0.18</td>
<td>2.67</td>
</tr>
<tr>
<td>4</td>
<td>Pulse for free vibration</td>
<td>0.06</td>
<td>0.01</td>
<td>0.27</td>
</tr>
<tr>
<td>5</td>
<td>Pulse for free vibration</td>
<td>0.13</td>
<td>0.01</td>
<td>0.62</td>
</tr>
<tr>
<td>6</td>
<td>Pulse for free vibration</td>
<td>0.19</td>
<td>0.01</td>
<td>0.92</td>
</tr>
<tr>
<td>7</td>
<td>Pulse for free vibration</td>
<td>0.01</td>
<td>0.13</td>
<td>0.06</td>
</tr>
<tr>
<td>8</td>
<td>Pulse for free vibration</td>
<td>0.01</td>
<td>0.19</td>
<td>0.07</td>
</tr>
<tr>
<td>9</td>
<td>Higashi-Oogijima wave(30%)</td>
<td>0.08</td>
<td>0.11</td>
<td>0.53</td>
</tr>
<tr>
<td>10</td>
<td>Higashi-Oogijima wave(50%)</td>
<td>0.14</td>
<td>0.18</td>
<td>0.91</td>
</tr>
<tr>
<td>11</td>
<td>San-no-Maru wave(20%)</td>
<td>0.08</td>
<td>0.09</td>
<td>0.31</td>
</tr>
<tr>
<td>12</td>
<td>San-no-Maru wave(50%)</td>
<td>0.14</td>
<td>0.15</td>
<td>0.57</td>
</tr>
<tr>
<td>13</td>
<td>El Centro wave(30%)</td>
<td>0.13</td>
<td>0.14</td>
<td>0.82</td>
</tr>
<tr>
<td>14</td>
<td>El Centro wave(50%)</td>
<td>0.22</td>
<td>0.23</td>
<td>1.44</td>
</tr>
<tr>
<td>the second day: March 18, 2008</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>15</td>
<td>W-1</td>
<td>0.06</td>
<td>0.06</td>
<td>0.73</td>
</tr>
<tr>
<td>16</td>
<td>W-2</td>
<td>0.11</td>
<td>0.12</td>
<td>1.71</td>
</tr>
<tr>
<td>17</td>
<td>Sine frequency sweep</td>
<td>0.01</td>
<td>0.04</td>
<td>0.07</td>
</tr>
<tr>
<td>18</td>
<td>Sine frequency sweep</td>
<td>0.01</td>
<td>0.08</td>
<td>0.08</td>
</tr>
<tr>
<td>19</td>
<td>Sine frequency sweep</td>
<td>0.04</td>
<td>0.01</td>
<td>0.16</td>
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<td>20</td>
<td>Sine frequency sweep</td>
<td>0.08</td>
<td>0.01</td>
<td>0.30</td>
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<tr>
<td>21</td>
<td>Kisho-cho wave(60%)</td>
<td>0.18</td>
<td>0.13</td>
<td>2.63</td>
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<tr>
<td>22</td>
<td>Kisho-cho wave(100%)</td>
<td>0.30</td>
<td>0.22</td>
<td>4.74</td>
</tr>
<tr>
<td>23</td>
<td>El Centro wave(100%)</td>
<td>0.44</td>
<td>0.45</td>
<td>2.92</td>
</tr>
<tr>
<td>the third day: March 19, 2008</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>24</td>
<td>Higashi-Oogijima wave(50%)</td>
<td>0.27</td>
<td>0.36</td>
<td>1.90</td>
</tr>
<tr>
<td>the fourth day: March 21, 2008</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>25</td>
<td>W-1</td>
<td>0.06</td>
<td>0.06</td>
<td>0.72</td>
</tr>
<tr>
<td>26</td>
<td>W-2</td>
<td>0.11</td>
<td>0.12</td>
<td>1.68</td>
</tr>
<tr>
<td>27</td>
<td>San-no-Maru wave(100%)</td>
<td>0.41</td>
<td>0.42</td>
<td>1.95</td>
</tr>
<tr>
<td>28</td>
<td>W-1</td>
<td>0.06</td>
<td>0.06</td>
<td>0.71</td>
</tr>
<tr>
<td>29</td>
<td>W-2</td>
<td>0.11</td>
<td>0.12</td>
<td>1.65</td>
</tr>
<tr>
<td>30</td>
<td>San-no-Maru wave(100%)</td>
<td>0.01</td>
<td>0.42</td>
<td>0.13</td>
</tr>
<tr>
<td>31</td>
<td>W-1</td>
<td>0.06</td>
<td>0.06</td>
<td>0.71</td>
</tr>
<tr>
<td>32</td>
<td>W-2</td>
<td>0.11</td>
<td>0.12</td>
<td>1.70</td>
</tr>
<tr>
<td>33</td>
<td>San-no-Maru wave(100%)</td>
<td>0.01</td>
<td>0.42</td>
<td>0.18</td>
</tr>
<tr>
<td>34</td>
<td>W-1</td>
<td>0.06</td>
<td>0.06</td>
<td>0.72</td>
</tr>
<tr>
<td>35</td>
<td>W-2</td>
<td>0.11</td>
<td>0.12</td>
<td>1.66</td>
</tr>
</tbody>
</table>

that the fundamental frequency of the entire specimen is set to 0.46 Hz (2.19 s).

2.2. Test Plan

The shaking table test was conducted on March 17 to 21, 2008, as shown in Table 1. Two kinds of tests, i.e., the seismic performance excitation and the system identification excitation, were alternately conducted in the test series. In the seismic performance excitation several artificial earthquake motions were used for investigating the structural performance against the huge oceanic earthquake: e.g., the Higashi-Oogijima wave, the San-no-maru (SAN) wave. An observed earthquake input, the El Centro wave, which has been widely used in seismic design of a high-rise building, was also used for investigating the seismic response to a typical seismic design wave. Of these inputs the San-no-maru wave largely affects the seismic performance of the specimen, which has the longest-duration and the highest intensity in the long-period range. The system identification excitation was also conducted
before and after each seismic performance excitation, from which dynamic behaviour of the specimen is evaluated in the elastic range (even after elasto-plastic response occurred after seismic performance excitation, the response for identification excitation is elastic).

The schedules for the shaking table test and the state of the specimen are as follows. On the first day the excitations for the level-1 earthquake motions were conducted to investigate whether the specimen behaves within the elastic range. Here, the level-1 earthquake motions is defined as the “small-amplitude input” in the seismic design, which can occur once or more within the lifetime of a building, and against such earthquake motions a building is to behave elastically. In the test the peak ground velocity (PGV) was set to 25 cm/s which is the case in the building code of Japan. By visual inspection after the first day, we found no damage in the main structural members, but some hairline cracks appeared on the concrete slabs of the 2nd to 4th floors. We also have confirmed that the relations between force and displacement on the lower four stories were strictly linear on all the stories during the excitations for the level-1 earthquake motions. The facts indicate that no damage occurred in the first day.

On the second to third days the excitations for the level-2 earthquake motions were conducted to investigate the structural performance beyond elastic limit. Here, the level-2 earthquake motions is defined as the “large-amplitude input” in the seismic design, which may hit a building with low possibility in its lifetime, and against such earthquake motions a building must not collapse but may behave elastically. In the test the PGV was set to larger than 50 cm/s as usual in Japan. By visual inspection, we cannot find remarkable damage, but some yield lines appeared slightly on the surface of steel beams. According to the records against the level-2 earthquake motions, some steel members behaved beyond their elastic limits; however, no severe damage occurred in the second and third days.

On the fourth day the long-duration excitation tests were repeatedly conducted for investigating the structural performance and damage state against huge oceanic earthquakes. In the first SAN excitation (Test No.27), damage appeared as shown in Figure 2-(a). The damages were located at the edges on the outer X-axis beams from the 2nd to 4th floors, the most severe damages (ruptures) were shown as the red-circle-marked parts of the figure, where the lower flanges and the webs were cut completely along the welded joint of beam-to-column connection. Those severe damages appeared in the X-axis frame, whereas in the Y-axis frame no remarkable damage could be found by visual inspection. Thus, the additional seismic excitation tests were conducted for the Y-axis one-direction shaking. And then, in the second Y-axis one-direction shaking of the third SAN excitation (Test No.33), some severe damages clearly appeared in the Y-axis beams, which can be found by visual inspection, as shown in Figure 2-(b).

3. STIFFNESS LOSS AT BEAM ENDS

Changes in bending stiffness are evaluated from twenty four beam-end-measurements as shown in Figure 1, by white noise excitations of W-2. The bending stiffness is estimated from relations between rotational displacement and moment (M-θ-relation), where the rotational displacement was measured by two displacement-meters installed at lower- and upper-sides of beams ends, and the moment is estimated from four gauges mounted along the beam section under the assumption that the beam behaves elastically. The results are shown in Figure 3.
In Figure 3 (a) the transverse bending stiffness of the ruptured beam-ends, as denoted red solid line, suddenly decreased to nearly zero, when some beams ruptured in Test No.27. Then the bending stiffness of non-ruptured beam ends, as denoted blue broken line, did not change significantly. Similar effects are observed in Figure 3(b), where the sudden changes appear after Test No.33. These results show that the rupture at the beam end is apparently detectable with monitoring its bending stiffness.

Another changes in bending stiffness are found in the first seismic experience. All bending stiffness tends to decrease clearly in Tests No.2 through 16. The changes in the first seismic experience are considered due to decrease of composite beam stiffness (stiffness of steel beam and concrete slab), when the stiffness of concrete slab decreased with developing concrete cracks. However, the amounts
of such early change are smaller than those of the above change by the beam-ends rupture.

4. SYSTEM IDENTIFICATION

Story stiffness of mass-spring models is identified from two types of vibration records of small excitation data against the white noise W-2 and ambient vibration record. The two types of mass-spring models are shown in Figure 4(a). The left-hand side model in the figure is a 7-degree of freedom (7-DOF) mass-spring system, where the lower four stiffness \( k_1 \) to \( k_4 \) correspond to the one story stiffness of the lower four story steel moment frame of the test specimen. The right-hand side model is a 4-DOF mass-spring system, where the lower \( K_1 \) is the condensed one shear spring of the lower four-story stiffness of the steel moment frame, in which \( K_1 \) is called "the condensed stiffness". Two different identification schemes are employed to every two different records of small excitation and ambient vibration, as described below.

For the small excitation-based identification, the story stiffness is estimated from relations between shear load and lateral displacement summarized on six columns under the assumption of elastic behaviour. The shear loads at each column are measured by strain gauges attached on the upper- and lower-part of the column. These estimates of story stiffness consists of columns, beams and concrete slabs, and the effect of non-structural elements are not included (e.g., partition walls).

For the ambient vibration-based identification, two steps of modal identification schemes are employed (Kanazawa, 2004; Kanazawa et al., 2005). In the first step, the proper value of natural frequency and damping factors, and the modal vectors are identified from acceleration records in ambient vibration by using ARMAMA model-based modal identification (Kanazawa, 2004; Kanazawa et al., 2005). The records of ambient vibration are recorded in very quiet vibration state when the shaking table equipment was not working, and before or after the W-1 white noise excitation. And in the second step, the story stiffness is estimated from such identified modal parameters, by using the below equations:

\[
k_l = \text{Re} \left[ \frac{-\nu_l^2 \left( \Sigma_{j=1}^n \nu_j \times m_j \right)}{(p_{l1}-p_{l-1,1}) \omega_l^2 - H_l} \right]
\]

where \( k_l \) = modal stiffness at the \( l \)-th layer (\( \in \mathbb{R} \); real number); \( m_j \) = mass at the \( j \)-th node (\( \in \mathbb{R} \)); \( \nu_l \) = the first order proper value (\( \in \mathbb{C} \); complex number); \( p_{l1} \) = the \( l \)-th node lateral component in the first order modal vectors (\( \in \mathbb{C} \)); \( p_{Rl} \) = the rotational component at the foundation in the first order modal vectors (\( \in \mathbb{C} \)); \( H_l \) = layer height on the \( l \)-th story (\( \in \mathbb{R} \)).

These estimates of story stiffness consist of both
main frame and partition walls, and which are envisioned to evaluate from very tiny tremors obtained by ambient vibration test.

5. QUAKE EXPERIENCE-INDUCED CHANGE

5.1. Story stiffness losses

Changes in story stiffness are shown in Figures 4(b) and 4(c), identified from the small excitation of the W-1 white noise excitations. All story stiffness $k_1$ through $k_4$ on the first to forth stories and the condensed stiffness $K_1$ tend to similarly decrease with the progress of the seismic experience. Large shifts of stiffness are found in the first seismic experience (Tests No. 1 through 15) and at the severe experiences (Tests No. 25 through 28 in the X-axis direction, Tests No. 31 through 34 in the Y-axis direction). The tendency of the shifts is accountable for stiffness losses at beam ends described in Section 3.

These shifts are also detectable by using ambient vibration test, as shown in Figure 5. In the figures reduction ratios of story stiffness are compared with two different identification schemes from ambient vibration and from the W-1 white noise excitation. The shift in the first seismic experience is observed in Tests No. 1 through 15. The shifts in the severe seismic experience are observed in Tests No. 25 through 28 as shown in Figure 5(a), and in Tests No. 28 through 34 as shown in Figure 5(b).

5.2. Earthquake-induced changes in Stiffness versus Seismic design index

To investigate earthquake-induced changes of story stiffness in more detail, relations between stiffness and general seismic design indices are shown in Figure 6. As the seismic design indices, maximum drift angle and cumulative plastic ductility on each story deformation are estimated from floor accelerations and lateral displacements obtained at all shaking tests in Table 1. These values are compared with four levels of performance based seismically safety limit proposed by Japan Structural Consultants Association (JSCA) (Kitamura et al., 2006). Here, the cumulative plastic ductility $\eta$ is defined as...
where \( W_{pi}^+ \) and \( W_{pi}^- \) = positive and negative hysteresis energy; \( Q_{eq}d_{eq} \) = product of yielding load and yielding displacement under the assumption of equivalent bi-linear hysteresis loop.

As shown in Figure 6(a) and (b), the stiffness largely reduced within the drift angle of fully functional state. The stiffness also largely reduced within the drift angle between the life safe limit and the collapse. On the view of structural health monitoring, the latter shift due to collapse is more important. The discrimination scheme of these two shifts will be needed in the future problem.

In Figure 6(b) three drift angles of layers corresponding to stiffness \( k_2 \), \( k_3 \) and \( K_1 \) in Tests No.28 through 34 indicate almost same, although no members ruptured in Tests No.28 through 31 whereas four beams ruptured in Test No.34. The fact shows any ruptures at beam-ends cannot be detected by using the story drift data. Whereas stiffness changed between Test No. 31 and 34; the rupture can be easily detected from the stiffness monitoring data. In order to check the beam-ends ruptures, stiffness is more effective index than story drift angle.
As shown in Figure 6(c) and (d), the values of cumulative plastic ductility exceeds the safety limits specified by the JSCA’s seismic design criteria, when some beam ends ruptured. The cumulative plastic ductility can be also effective monitoring index for detecting beam rupture.

6. CONCLUSIONS

Vibration based damage detection (VBDD) is promising to judge quickly whether a quake-stricken building is continuously useable, however, the criterion for damage occurrence is not established yet, which is necessary for practical-use of the VBDD. In the paper, relations between stiffness and earthquake-experiences have been discussed by utilizing the full-scale shaking table test when the steel moment frame building was finally damaged by ground motions. The results are summarized as follow:

1. Rotational stiffness at a beam-end decreases to nearly zero when the beam-end has ruptured. Such severe damage can be detectable from monitoring story stiffness estimated from both records of small quake observations or ambient vibration test.
2. Changes in stiffness of a steel moment frame building also appears after the first seismic experience, which is considered to be caused by cracking concrete slabs. Discrimination schemes how to distinguish between the first quake experienced-change and the severe damage-induced shifts should be needed in the future problem.
3. Stiffness and cumulative plastic ductility are shown promising to detect beam-ends ruptures of a steel moment frame structure. On the other hand, maximum drift angle probably should not be used in structural health monitoring.

ACKNOWLEDGEMENT

This research is partially conducted on “the special project for Earthquake Disaster Mitigation in Tokyo Metropolitan Area” promoted by the Ministry of Education, Culture, Sports Science and Technology, Japan.

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